



ARTIVEST

IGNITE 2019

THE INAUGURAL ARTIVEST
ALTERNATIVE INVESTMENT SUMMIT

APRIL 10-12 | NEW YORK CITY



For decades, institutional-grade alternatives were within reach of just the largest stewards of capital: giant pension plans, sovereign wealth funds and institutional endowments and foundations. Today, advances in financial technology and industry shifts have sparked seismic change.

WHAT'S CHANGED? EVERYTHING.

Alternative fund investment minimums stand at a fraction of seven figures. A slow, paper-heavy \$13 trillion industry has been set into motion as it moves from analog to digital, and shifts into hypergrowth. Doors have opened for not just Wall Street's weighty few, but a new universe of intermediaries and qualified individual investors. Top-ranked alternative managers are looking downstream to stir up new sources of capital. Frictionless private fund subscriptions take hours instead of months. "Niche" strategies are illuminating new sources of alpha and yield. Operational improvements are enlivening the entire alternatives ecosystem, making it far more accessible.

A bright new era of alternative investing has arrived. **Welcome to IGNITE 2019: The Inaugural Artinvest Alternative Investment Summit.**



WELCOME TO IGNITE 2019.

Over the next three days, Artivest will be hosting a renowned group of executives, leaders, and speakers from across the alternative investment and wealth management landscape. As a group, these individuals collectively represent organizations with more than \$8 trillion in assets under administration, custody, and management.

This is your opportunity to hear from the brightest minds in alternatives about the sea changes occurring across the industry, along with the investment ideas and issues of the moment. And, of course, we hope you'll explore the promise and power of the Artivest Platform while conducting due diligence on our firm and team.

While we have established a set agenda for the next 72 hours in the pages that follow—please know that this is your meeting—not ours. We want to know what is top of mind for you, so the conversations that follow are of maximum relevance and interest.

One last note: While this Summit is being held in New York City, you won't be visiting with us on Wall Street. Although we sit at the nexus of alternatives and wealth management, our DNA remains firmly rooted in technology.

Welcome to IGNITE 2019. We look forward to sharing ideas with you over the coming three days.

Sincerely,



JOE WILLIAMS
HEAD OF INTERMEDIARY DISTRIBUTION
ARTIVEST

SUMMIT VENUE

The University Club of New York
One West 54th Street (54th and Fifth)
New York, NY 10019
universityclubny.org
212.247.2100

WIFI LOGIN

Wifi Network: University Club
Password: Dwight1865

ATTIRE

The University Club requires business formal attire. A jacket and tie is required for gentlemen; a tailored suit, dress, skirt, jacket, blouse or elegant sweater set is required for ladies.

Attire for the Artinvest off-site and dinner at Scarpetta is business casual.

Suggested attire for the Millennium and KKR visit is business formal, though business casual is acceptable.

WEDNESDAY, APRIL 10

ARRIVALS

The University Club of New York 7th Floor

6:00p **Welcome Dinner**
The University Club of New York, Main Dining Room, 7th Floor
Seafood Buffet (alternative options available)

THURSDAY, APRIL 11

MORNING SESSION

The University Club of New York 9th Floor

7:30a **Networking Breakfast**
The University Club of New York, 9th Floor

8:00a **Welcome and Opening Remarks**
Joseph Williams, Head of Distribution | Artinvest
Brendan Finn, Director, Strategic Relationships | Artinvest

8:15a **Igniting a Chain Reaction in Alternative Investments**
Martin Beaulieu, Executive Chairman | Artinvest

8:45a **The Artinvest Research Process and Case Studies: Access to Unique Investment Strategies and Structures**
Eric Bundonis, Head of Research | Artinvest
Matt Osborne, Chief Investment Officer and Co-Founder of Altegris | Artinvest

THURSDAY, APRIL 11

9:30a **Bain Capital Credit**
Jeffrey B. Hawkins, Managing Director & COO | Bain Capital Credit

10:15a **Networking Break and 1:1 Meetings**

10:30a **Renaissance Technologies**
Jennifer Milacci, Managing Director, Head of Client Services | Renaissance Technologies

11:00a **LaSalle Income & Growth Fund VIII**
Alok Gaur, Global Co-Head Client Capital Group | LaSalle Investment Management

AFTERNOON SESSION

The University Club of New York 9th Floor

11:45a **Lunch Buffet**

12:00p **Lunch Session: Partner Perspective**
Binoy Talati, Director of Business Development | Artinvest
Bryan Mullin, Head of Alternative Investments | RBC Wealth Management
David C. Moore, Senior Research Analyst | Northern Trust Wealth Management

12:45p **Municipals Limited Partnerships: An Active Management Solution for High Net Worth Investors**
David Dowden, Portfolio Manager | MacKay Shields

1:30p **Networking Break and 1:1 Meetings**

1:45p **Verition Fund Management Overview and the Altegris Multi-Strategy Fund**
Josh Goldstein, President & COO | Verition Fund Management, LLC

2:15p **PIMCO Outlook and Overview of Alternative Credit & Private Strategies Platform**
Ryan M. Keating, Vice President Private Client Group | PIMCO

2:45p **Session Wrap and 1:1 Meetings**

4:15p **Group Departure from The University Club to Artinvest Offices**
Meet at Front Entrance on 54th Street

THURSDAY, APRIL 11

ARTIVEST NYC OFFICE

149 Fifth Avenue, 16th Floor (between 21st and 22nd)

- 4:30p **Artinvest Office Reception and Wine Tasting**
- 4:45p **Why is Active Management so Difficult if Markets are Often Inefficient?**
Launny Steffens, Founder and Senior Managing Director | Spring Mountain Capital
- 5:15p **Qualified Opportunity Zones Discussion**
Emanuel J. Friedman, CEO and Co-Chief Investment Officer | EJF Capital
- 5:45p **Artinvest Platform Discussion**
Binoy Talati, Director of Business Development | Artinvest
- 6:00p **Group Departure to Dinner**

DINNER SESSION

Scarpetta 88 Madison Avenue (between 28th and 29th)

- 6:30p **Dinner Welcome**
James Waldinger, Founder and CEO | Artinvest
- Dinner Session: Wellington Alternative Themes**
Helen E. Clement, Managing Director | Wellington Management

FRIDAY, APRIL 12

MANAGER ON-SITE SESSION

Millennium 666 Fifth Avenue, 8th Floor | KKR 9 West 57th Street, 42nd Floor

- 8:00a **Group Departure: Walk to Millennium**
Meet in The University Club Lobby
- 8:30a **Breakfast with Millennium** 666 Fifth Avenue, 8th Floor
- 8:45a **Millennium and WorldQuant Overview and Update**
Brian J. Kelly, Global Head of Platforms | Millennium Management LLC
- 9:45a **Group Departure: Walk to KKR** 9 West 57th Street, 42nd Floor (Avenue of the Americas and Fifth)
- 10:00a **KKR Board Room Session**
Josh Weisenbeck, Member | KKR
Dan Parant, Director | KKR
Anthony Pennetti, Director | KKR
- 11:00a **Summit Adjournment**
Joseph Williams, Head of Distribution | Artinvest
Brendan Finn, Director, Strategic Relationships | Artinvest
- 11:30a **The University Club of New York: Individual and Group Departures**
Car Service to LaGuardia Airport: Meet on 54th Street
Entrance of The University Club



ABOUT ARTIVEST

POWERING THE FUTURE OF ALTERNATIVE INVESTING.

Artivest is transforming the way wealth managers and investors identify, access and invest in institutional-grade private alternative strategies, including private equity, hedge funds, managed futures, and real assets.

Our platform is designed to solve for the considerable barriers advisors and investors face with alternative investing. Leveraging proprietary technology, robust due diligence expertise and data-driven wealth management insights, we deliver simplicity, accessibility and efficiency across the alternative investment experience.

And we are built for scale. Every day, more alternative investment managers and more financial advisors are joining the thousands of professionals already leveraging the Artivest Open Network—the world's first digital open-architecture platform for alternative funds. For asset and wealth managers seeking turnkey enterprise solutions, we offer direct investment access, transaction processing, investment research, product structuring and fund distribution.

Based in New York City and San Diego, Artivest is privately held by its employees and outside investors, led by Aquiline Capital Partners, Genstar Capital, KKR and Thiel Capital. For more information, please visit artivest.co.

LEADERSHIP TEAM



JAMES WALDINGER
Founder and CEO



PREETI MALIK
Chief Strategy Officer



MATT OSBORNE
Chief Investment Officer, Co-Founder of Altegris



PAUL NOBILE
Chief Marketing Officer



ADRIAN CZEBINIAK
Chief Operating Officer and Chief Technology Officer



JOE WILLIAMS
Head of Intermediary Distribution



MARTIN BEAULIEU
Executive Chairman



ERIC BUNDONIS
Head of Research



KAMAL JAFARNIA
Chief Compliance Officer and General Counsel



EMILY GLASSMAN
Head of Business Development

STRATEGIC RELATIONSHIPS TEAM



BRENDAN FINN
Director, Strategic Relationships



MATTHEW HURD
Director, Strategic Relationships



TOM GATTO
Director, Strategic Relationships



IVAN RODRIGUEZ
Strategic Relationships Consultant

SUMMIT BY THE NUMBERS

GATHERING THE BRIGHTEST MINDS IN ALTERNATIVES.

For our inaugural summit, we've assembled presenters from both asset and wealth management. In the pages that follow, you'll learn more about each of these accomplished speakers. As a collective group, IGNITE 2019 attendees represent an impressive line-up of the industry at large.

19
WEALTH
MANAGEMENT
FIRMS

11
ASSET
MANAGERS

2
CUSTODIANS

\$8T
TRILLION
IN ASSETS

IGNITE 2019: ARTIVEST ALTERNATIVE INVESTMENT SUMMIT PRESENTATIONS FROM THE FOLLOWING FIRMS:

millennium

KKR

 BainCapital

 LaSalle
INVESTMENT MANAGEMENT™

PIMCO

 VERITION
FUND MANAGEMENT LLC

WELLINGTON
MANAGEMENT®

Renaissance 

 RBC
Wealth
Management

 NORTHERN
TRUST

 MACKAY SHIELDS

 EJF
Alternative Asset Management

 SPRING MOUNTAIN CAPITAL



JOE WILLIAMS
HEAD OF
INTERMEDIARY
DISTRIBUTION

Joseph (Joe) has approximately 24 years of finance experience. For the past eight plus years, Joe was with Cohen & Steers in New York, NY. He began as Regional Vice President, Broker Dealer Channel, and was eventually promoted to Senior Vice President, National Sales Director, Wealth Management Consulting in 2014. In that role, Joe managed a 23-person internal and external sales team. Distribution responsibilities consisted of open-end funds, SMAs, and closed-end funds. Joe led the sales team to exceed sales targets in both 2015 and 2016.

Prior to joining Cohen & Steers, Joe held a prior position as Regional Vice President for Evergreen Investments, in Boston, MA, and numerous positions with J&W Seligman & Co. in New York, NY from 1998-2007. Joe began his career in finance as an Institutional Government Bond Broker with Cantor Fitzgerald L.L.P, in New York, NY.

Joe is a graduate of The College of the Holy Cross in Worcester MA, where he obtained a Bachelor of Arts in psychology. Joe holds FINRA Series 3, 7, 24, 30, 63, and 65 licenses.

TAKE NOTE





BRENDAN FINN
DIRECTOR OF
STRATEGIC
RELATIONSHIPS

Brendan Finn has nearly 20 years of industry experience and is currently Director of the Strategic Relationships Team at Artinvest (formerly Altegris), where he and his team are focused on the overall distribution efforts within the wire houses, independent broker dealers, RIAs and custodians.

Prior to joining Artinvest, Brendan was most recently an Assistant Vice President with BNY Mellon Asset Management, where he focused on mutual fund, separate account and sub-advised distribution for approximately 20 different boutique asset managers under the BNY Mellon umbrella. Brendan's focus at BNY Mellon was with home office and analysts at major wire houses and independent broker dealers.

Early in his career, Brendan spent time on the floor of the NYSE and worked within wealth management at Solomon Smith Barney.

Brendan enjoys coaching his three children in soccer and other sports. He is a graduate of the University of Delaware in Newark with BA in history and holds Series 3, 7, 30 and 63 licenses.

TAKE NOTE





MARTIN BEAULIEU
EXECUTIVE CHAIRMAN

Martin Beaulieu brings more than 34 years of financial services and investment industry experience to Artivest. As Executive Chairman, Mr. Beaulieu is responsible for the firm's multichannel distribution efforts, investment product development, and its overall growth execution strategy. Prior to Artivest and Altegris completing their merger in June 2018, Martin served as Executive Chairman and CEO of Altegris.

From 2012 through 2015, Mr. Beaulieu was the Head of Retail iShares U.S. with BlackRock, the largest asset management firm in the world with \$6.3 trillion under management. Martin's primary responsibility with BlackRock was leading the iShares distribution team across all domestic retail channels. Previous to joining BlackRock,

Mr. Beaulieu was Vice Chairman and Head of Global Distribution with MFS Investment Management, the ~\$450 billion Boston-based investment management firm. Mr. Beaulieu earned his bachelor's degree from Santa Clara University.

In addition to his role with Artivest, Martin serves as an advisory board member of two organizations: Strategic Insight, a New York-based financial data and business intelligence company that serves the asset management industry; and Vestigo Ventures, a venture capital firm headquartered in Cambridge, MA, which focuses its investments on early stage financial technology companies.

TAKE NOTE





ERIC BUNDONIS
CFA
HEAD OF
RESEARCH

Eric Bundonis has served as Portfolio Manager and Director of Research and Sourcing since 2014. His responsibilities include portfolio management, manager sourcing, research, and due diligence across a wide variety of alternative strategies. Eric brings over 14 years of alternative investment experience. From February 2012 to November 2014, he served as Vice President, Co-Director of Research and Investments of the adviser. From July 2009 to January 2012, he served as Vice President, Senior Research Analyst.

Prior to joining Artivest, Eric was an Associate at OneCapital Management Partners in New York. As a member of OneCapital's Investment Committee, he was responsible for identifying, selecting, and monitoring investments for multi-manager funds of hedge funds serving institutional and high-net-worth investors.

Prior to joining OneCapital, Eric conducted due diligence on prospective and existing alternative investments at Auda Advisor Associates in New York.

Mr. Bundonis graduated from Middlebury College with a BA in history and received an MBA with honors from the Wharton School of the University of Pennsylvania, where he majored in finance and management. He is also a Chartered Financial Analyst and a member of the New York Society of Security Analysts.

TAKE NOTE





MATT OSBORNE
CHIEF INVESTMENT
OFFICER AND
CO-FOUNDER OF
ALTEGRIS

Matt Osborne is the Chief Investment Officer (CIO) of Artinvest, a provider of premier alternative investment and technology solutions, where he oversees the firm's 15-person Investment Research, Product Structuring, and Portfolio Strategy Team.

Artinvest completed its merger in 2018 with Altegris, an established alternative asset manager, which Mr. Osborne co-founded in 2002 and where he served as CIO.

The Altegris family of private and public alternative funds has retained its name and now operates as the asset management division of Artinvest.

Osborne has more than 30 years of finance, international business, and investment industry experience. As the Chief Investment Officer (CIO) of Artinvest, Matt is responsible for all investment product development and is Co-Portfolio Manager of several award-winning Altegris alternative funds.

Mr. Osborne is a senior member of the Artinvest Investment Committee, responsible for the qualification, approval, and ongoing review of all alternative strategies and managers on the Artinvest and Altegris platforms.

Prior to founding Altegris in 2002, Osborne was the Director of Research for the Managed Investments Division of Man Financial, with responsibility for manager selection and research. Previously, Matt had a 12-year career with a prominent family investment office in his native New Zealand. In his role as Senior Investment Manager, Osborne was responsible for formulating investment policies and implementing a global asset allocation program that focused on alternative investments, including hedge funds, managed futures, private equity, and real assets.

Osborne has significant trading expertise in equities, fixed income, foreign currencies, global futures, and options, among other securities. Matt currently holds FINRA Series 3, 7, 24, and 63 licenses.

TAKE NOTE





JEFFREY B. HAWKINS
MANAGING DIRECTOR
AND COO

Mr. Hawkins joined Bain Capital Credit in 2001. He is Deputy Managing Partner and a Managing Director based in Bain Capital Credit's Boston office. He is a Credit Committee member, a Risk and Oversight Committee member, and serves as the Chairman of Bain Capital Specialty Finance, Inc., a registered business development company. Previously, Mr. Hawkins was at Ropes & Gray, LLP working on securities law, mergers and acquisitions, and collateralized debt funds. Mr. Hawkins received a JD from Harvard Law School and a BA Phi Beta Kappa from Trinity College.

ABOUT BAIN CAPITAL

Bain Capital is one of the world's leading private multi-asset alternative investment firms with over \$105 billion in assets under management that creates lasting impact for our investors, teams, businesses and the communities in which we live.

Founded in 1984, Bain Capital pioneered a consulting-based approach to private equity investing, partnering closely with management teams to offer the insights that challenge conventional thinking, build great businesses and improve operations. Over time, they have organically expanded this approach across asset classes to build one of the strongest alternative asset platforms in the world.

Today, their teams strive to create value through private equity, public equity, fixed income and credit and venture capital investments across multiple sectors, industries, and geographies. Bain Capital believes that their people and the shared values they've espoused since its founding remain the core of its competitive advantage. This has empowered them to deliver an enduring impact to a diverse group of investors including pensions, endowments, foundations and individuals.

TAKE NOTE



Renaissance



JENNIFER MILACCI
CFA
MANAGING
DIRECTOR, HEAD
OF CLIENT SERVICES

Ms. Milacci joined Renaissance in August 2005. Prior to joining Renaissance, she worked at Banc of America Securities, Morgan Stanley Investment Management, and Putnam Investments in Boston. Ms. Milacci received her BS from Carnegie Mellon University and her MBA from NYU Stern School of Business. She is a CFA Charterholder, Board member of The Child Center of New York, a member of the Financial Women's Association of New York, and Global Angel of 100 Women in Finance.

ABOUT RENAISSANCE TECHNOLOGIES

Renaissance Technologies (Renaissance) is a quantitative investment management company trading in global financial markets, dedicated to producing exceptional returns for its investors by strictly adhering to mathematical and statistical methods.

Founded in 1982, Renaissance Technologies is a pioneer and longstanding innovator in systematic investing, recognized globally for its exceptional intellectual capital and extraordinary long-term record of generating superior, risk-adjusted returns.

TAKE NOTE



ALOK GAUR
GLOBAL CO-HEAD
OF THE CLIENT
CAPITAL GROUP

Alok jointly leads the 35-person global client relationship, capital raising, marketing and public relations teams in Chicago. Alok helps lead new business development, investor relationships and product development around the world in coordination with a London-based Co-Head. Alok is also a member of LaSalle's Global Management Committee supporting the development and execution of the firm's business strategy.

Over the course of his career, Alok has been directly involved in raising more than \$30 billion of capital, primarily in the opportunistic category, across approximately 50 funds. Prior to joining LaSalle, Alok was a Managing Director and Partner at The Carlyle Group in Washington DC where he led the capital raising function for global real estate. Before Carlyle, he held senior positions at Greenhill and Co, as well as Credit Suisse's Real Estate Private Fund Group where he was involved in a variety of capital raising activities for funds and ventures pursuing various real estate investment strategies in North America, Europe and Asia Pacific. He also worked for nine years at The John Buck Company in a variety of roles, and two years at Coopers & Lybrand in the Real Estate Advisory Group as a consultant.

Alok received an MBA and BA from The University of Chicago and currently holds Series 7, 24 and 63 licenses.

ABOUT LASALLE INVESTMENT MANAGEMENT

One of the world's leading real estate investment managers. On a global basis, LaSalle manages approximately \$65 billion of assets in private and public real estate property and debt investments as of Q4 2018. LaSalle's diverse client base includes public and private pension funds, insurance companies, governments, corporations, endowments and private individuals from across the globe. LaSalle sponsors a complete range of investment vehicles including separate accounts, open- and closed-end funds, public securities and entity-level investments. For more information, please visit www.lasalle.com and LinkedIn.

The information discussed above is based on the market analysis and expectations of LaSalle and should not be relied upon by the reader as research or investment advice regarding LaSalle funds or any issuer or security in particular. The information presented herein is for illustrative and educational purposes and is not a recommendation, offer or solicitation to buy or sell any securities or to adopt any investment strategy in any jurisdiction where prohibited by law or where contrary to local law or regulation. Any such offer to invest, if made, will only be made to certain qualified investors by means of a private placement memorandum or applicable offering document and in accordance with applicable laws and regulations. Past performance is not indicative of future results, nor should any statements herein be construed as a prediction or guarantee of future results.

TAKE NOTE



BINOY TALATI
CFA
DIRECTOR
OF BUSINESS
DEVELOPMENT

Binoy Talati is a Director on Artinvest's Business Development Team. In this role, he is responsible for partnering with our enterprise asset and wealth manager clients to design a range of solutions to help them meet their goals. Binoy joined Artinvest following six years within the Platform Management Team at Merrill Lynch's Alternative Investments Group. His experience spans third party distribution relationships, the oversight of feeder funds and the development of a technology-forward alternative investments operating model and infrastructure.

Binoy is a CFA® charterholder and holds FINRA Series 7 and 66 licenses. He received a BS in management with concentrations in finance and accounting from Boston College.

TAKE NOTE





BRYAN MULLIN
CFA
HEAD OF
ALTERNATIVE
INVESTMENTS



Wealth Management

Bryan oversees all education, research, and delivery of alternative investments to RBC Wealth Management's advisors serving high net worth, ultra-high net worth and institutional clients. Bryan joined RBC Wealth Management in 2016 after spending nearly 14 years at an institutional consulting business, where he helped the firm grow to more than \$130 billion in assets under advisement. He was a member of the firm's executive team, director of its alternatives research, and key member of the firm's Outsourced CIO practice. During his tenure, Bryan helped build and retain a 20-person research team, led investment recommendations on more than \$5 billion of private capital commitments and in excess of \$12 billion of hedge fund allocations, and served on numerous fund Advisory Boards on behalf of his clients. Bryan was also the pioneering force behind multiple new initiatives for the firm, including the development of an independent operational due diligence process in 2003, launching custom strategies exclusive for the firm's clients in 2010, and instigation of the firm's first large-scale co-investment in 2014.

Bryan earned his BS in economics at the University of Minnesota, and completed his MBA with a concentration in finance at the

Carlson School of Management, University of Minnesota. Bryan is also a Chartered Financial Analyst (CFA) charterholder, and as such, is a member of the CFA Institute, as well as the CFA Society of Minnesota.

ABOUT RBC WEALTH MANAGEMENT

For more than a century, RBC Wealth Management has provided trusted advice and wealth management solutions to individuals, families and institutions. RBC Wealth Management is a global organization, bringing diverse expertise and deep knowledge to the sophisticated financial needs of clients around the world. They are committed to earning client's trust by building lasting relationships and confidence, putting your interests first in everything they do. Every interaction with RBC Wealth Management is defined through its core values and culture of doing what's right for clients and the communities in which they operate. Forward-looking, innovative and committed helping clients thrive and communities prosper—RBC Wealth Management is the partner you can depend on to help you achieve your financial goals.

TAKE NOTE



DAVE MOORE
CFA, CAIA

SENIOR VICE PRESIDENT,
WEALTH MANAGEMENT
PORTFOLIO RESEARCH

Dave is currently serving as a Senior Analyst in Wealth Management Portfolio Research. He is responsible for the application of leading research to the Wealth Management investment process. This includes research, tools and methods that support asset allocation, manager selection and monitoring, portfolio construction, and guidance on best practices. Before joining the National Investment Practice, he was a founding member of the Portfolio Management Team in the Global Family & Private Investment Offices segment of Wealth Management. This team assembled, monitored, and interpreted client portfolios on a risk-adjusted basis while supporting management of customized investment program solutions exceeding \$4 billion in assets under management. David is a graduate of Indiana University—Kelley School of Business with a BS degree in finance, in addition to completing pre-medical studies. He also earned a Masters of Sciences degree from Purdue University in biology (non-thesis). He is both a CFA and CAIA charterholder.

ABOUT NORTHERN TRUST

For more than a century, Northern Trust has worked hard building a legacy of outstanding service, expertise and integrity. Founded in Chicago in 1889, Northern Trust has offices in the United States in 20 states and Washington, D.C., 23 international locations in Canada, Europe, the Middle East and the Asia-Pacific region, and 18,800 employees globally. They serve the world's most-sophisticated clients—from sovereign wealth funds and the wealthiest individuals and families, to the most-successful hedge funds and corporate brands.

Their guiding principles not only survived but thrived during the Great Depression, two world wars and the 2008 financial crisis. They burnished their reputation as a global leader delivering innovative investment management, asset and fund administration, fiduciary and banking solutions enabled by sophisticated, leading technology. And through it all, they continually laid a solid, forward-looking foundation on which future generations can continue growing and achieving greater.

TAKE NOTE



DAVID DOWDEN
PORTFOLIO
MANAGER

David joined MacKay Shields in 2009. Before joining the firm he was Chief Investment Officer at Financial Guaranty Insurance Company. David was previously with Alliance Capital Management as a Senior Portfolio Manager and at Merrill Lynch & Co. as a Municipal Strategist. David has an AB from Brown University and an MBA from Columbia University. He has been in the investment management industry since 1989.

ABOUT MACKAY SHIELDS

MacKay Municipal Managers (\$35B in AUM) is a boutique within MacKay Shields (\$110B in AUM) with a team of 19 investment professionals with average 20 years of experience. Co-CIOs Bob DiMella and John Loffredo used to be co-heads of Merrill Lynch/Blackrock municipal team back in 2007. They have worked together since 1993. MacKay Shields is wholly owned by New York Life and has offices in New York, Princeton, Los Angeles and London.

TAKE NOTE



JOSH GOLDSTEIN
PRESIDENT
AND COO

Mr. Goldstein is responsible for the day-to-day management of Verition's business. In particular, Mr. Goldstein oversees the firm's strategic development, talent acquisition, marketing, and legal functions, and works with Mr. Maounis on the firm's investment activities and capital allocation decisions. Prior to joining Verition, Mr. Goldstein was Chief Operating Officer of NM Holdings, LLC where he oversaw Mr. Maounis's family office investments. Previously, he held positions at Lehman Brothers, the Yankees Entertainment & Sports Network, LLC (YES Network) and First Manhattan Consulting Group. Prior to that, he practiced corporate and securities law at Blank Rome LLP and served as an Assistant District Attorney in New York. Mr. Goldstein holds a Juris Doctor degree from Brooklyn Law School, where he was a Senior Editor of the Law Review, and a Bachelor of Arts degree from the Pennsylvania State University.

**ABOUT VERITION
FUND MANAGEMENT LLC**

Verition Fund Management LLC (Verition) manages a multi-strategy, multi-manager hedge fund platform focused on global investment strategies including quantitative trading, convertible, credit and volatility trading, equity long/short and capital markets trading, event-driven investing, and Canadian strategies. The Verition Multi-Strategy Fund seeks to construct a diversified portfolio that aims to achieve low correlation to traditional and alternative asset classes with attractive and consistent risk-adjusted returns across a full market cycle. Capital is allocated dynamically across the strategies based on the potential risk/reward, market view, and opportunity set for each individual investment team.

TAKE NOTE



PIMCO



RYAN M. KEATING
CAIA, CIMA
VICE PRESIDENT
PRIVATE CLIENT
GROUP

Mr. Keating is a Vice President and an Account Manager within the Private Client Group, part of the broader Global Wealth Management Group. He partners with financial professionals providing updates on PIMCO solutions, the overall marketplace, economic trends, and PIMCO's economic outlook. Previously at PIMCO, he worked as an Account Manager in field sales and an ETF Strategist, and prior to that, he worked in the Investment Due Diligence Group. He has 14 years of investment and financial services experience and holds a bachelor's degree from the University of Rhode Island. Mr. Keating holds both the Chartered Alternative Investment Analyst (CAIA) and Certified Investment Management Analyst (CIMA®) designations.

ABOUT PIMCO

One of the world's premier fixed income investment managers with \$1.66 trillion in assets under management. With their launch in 1971 in Newport Beach, California, PIMCO introduced investors to a total return approach to fixed income investing. In the 45+ years since, they have continued to bring innovation and expertise to their partnership with clients seeking the best investment solutions. Today PIMCO has offices across the globe and 2,500 professional united by a single purpose: creating opportunities for investors in every environment.

TAKE NOTE



**JOHN L. "LAUNNY"
STEFFENS**
FOUNDER AND
SENIOR MANAGING
DIRECTOR

Mr. Steffens is the Founder and Senior Managing Director of SMC. Prior to founding SMC in 2001, Mr. Steffens spent 38 years at Merrill Lynch & Co., Inc., where he held numerous senior management positions, including President of Merrill Lynch Consumer Markets, Vice Chairman of Merrill Lynch & Co., Inc., and Chairman of its U.S. Private Client Group. Under his leadership, the Private Client Group experienced tremendous growth, increasing assets under management from \$200 billion to \$1.6 trillion. Mr. Steffens also served on the board of directors of Merrill Lynch & Co., Inc. from April 1986 until July 2001.

Mr. Steffens currently serves on the board of directors of Colony Capital, Inc. (NYSE: CLNY) and as Chairman of the Board of Directors of Cicero, Inc. He also serves on the advisory boards of StarVest Partners and Wicks Communication & Media Partners, L.P. In addition, he is currently National Chairman Emeritus of the Alliance for Aging Research. Previously, Mr. Steffens served as Chairman of the Securities Industry Association (now the Securities Industry and Financial Markets Association, or SIFMA), as a Trustee of the Committee for Economic Development, and

as a member of the Board of Overseers of the Geisel School of Medicine at Dartmouth. In 2010, Mr. Steffens was the recipient of the Billie Jean King Contribution Award from the Women's Sports Foundation. Mr. Steffens received a BA in economics from Dartmouth College and also completed Harvard Business School's Advanced Management Program.

ABOUT SPRING MOUNTAIN CAPITAL

Spring Mountain Capital, LP (SMC) is a private investment management firm founded in 2001 by John L. (Launny) Steffens. Since inception, SMC has concentrated its investment expertise on alternatives, and has successfully launched 17 funds. SMC has a staff of 26 employees, including 17 investment professionals.

TAKE NOTE



EMANUEL J. FRIEDMAN
CHIEF EXECUTIVE OFFICER AND CO-CHIEF INVESTMENT OFFICER

Mr. Friedman co-founded EJF in 2005 following his retirement from Friedman, Billings, Ramsey Group, Inc. (FBR). Mr. Friedman is a founder and the former Co-Chairman and Co-Chief Executive Officer of FBR. Mr. Friedman has more than 40 years of capital markets and asset management experience. At FBR, Mr. Friedman designed and raised capital for numerous innovative property and mortgage REIT vehicles. Throughout the 1990s, Mr. Friedman was active in building out FBR's alternative asset management platform. He was instrumental in the creation of hedge, private equity and venture capital funds at FBR, and maintains an extensive network of contacts within the CDO, hedge fund and private equity fund communities. In April 1998, Mr. Friedman was awarded the CEO of the Year Award by George Washington University. He was honored for his outstanding leadership skills, demonstrated ability to meet organizational goals in the most challenging business environments, dedication to the community, and commitment to education.

Mr. Friedman began his career in the securities industry in 1973 at Legg Mason Wood Walker, Inc. He received his BA in education from the University of North Carolina at Chapel Hill and his JD from Georgetown University.

ABOUT EJF CAPITAL LLC

EJF Capital LLC is an employee-owned investment manager. The firm primarily provides its services to pooled investment vehicles. It also caters to high-net-worth individuals, corporations, foundations, and endowments. The firm manages hedge funds and private equity funds for its clients. It also manages separate client focused equity and fixed income portfolios. The firm invests in the public equity and fixed income markets across the globe. It primarily seeks to invest in securities that are listed, quoted, or traded on stock markets of Hong Kong, Taiwan, Singapore, and United States, and primarily employs an equity long or short strategy. EJF Capital LLC was founded in 2005 and is based in Arlington, Virginia.

TAKE NOTE



JAMES WALDINGER
FOUNDER AND CEO

James Waldinger is the Founder and CEO of Artinvest. Prior to forming Artinvest, Waldinger was a Portfolio Manager with Clarium Capital, a large global macro hedge fund established in 2002 by Peter Thiel, Co-Founder and former CEO of PayPal. James also served as the Lead Analyst on Thiel's initial Facebook investment in 2004. Prior to his positions with Clarium and Thiel, Waldinger worked with a variety of internet and technology startups in advisory, investment, and operational roles.

James received his JD and MBA degrees from Stanford University and his BA from Yale University. He is licensed to practice law in the state of New York and currently holds FINRA Series 3, 7, and 24 securities licenses.

Waldinger is an active supporter of the performing arts and serves on the Gala Committee with the Williamstown Theatre Festival in Williamstown, Massachusetts.

TAKE NOTE



WELLINGTON MANAGEMENT®



HELEN E. CLEMENT
CFA, CAIA
MANAGING DIRECTOR
AND DIRECTOR
OF ALTERNATIVE
INVESTMENTS,
AMERICAS

Helen is the Director of Alternative Investments, Americas, for Wellington Alternative Investments (WAI), which is responsible for the business and investment oversight of certain alternative investment strategies managed by Wellington Management. She helps lead alternatives efforts in the Americas by engaging with clients, consultants, and prospects on a variety of alternative-related topics. In addition, she is responsible for developing and enhancing the firm's working relationships with alternatives-focused investment consulting firms. She also serves as a member of the firm's Product Panel and Alternative Products Advisory Group.

Helen was previously the Business Manager for Wellington Management Funds Global (WMF Global), the group responsible for the development and operation of the firm's non-US mutual fund businesses. Prior to joining Wellington Management in 2007, she held roles at Fidelity Investments (2005-2007), iPhrase Technologies (2003-2005), and McKinsey & Company (1996-1998 and 2001-2003).

Helen received her MBA from Harvard Business School in 2001, and her BA in politics, philosophy, and economics, with First Class Honours, from Oxford University in 1996. She holds the Chartered Alternative Investment Analyst (CAIA) and Chartered Financial Analyst (CFA) designations and is a member of the CAIA Association, the CFA Institute, and the CFA Society Boston.

ABOUT WELLINGTON MANAGEMENT

Wellington Management is one of the largest and more experienced managers of alternative assets in the world, with a history dating back more than two decades. They run \$40 billion in alternative assets across more than 75 strategies.

TAKE NOTE

millennium



BRIAN KELLY
CFA, CAIA
GLOBAL HEAD
OF PLATFORMS

Brian J. Kelly is currently Global Head of Platform Distribution for Millennium Management LLC. His responsibilities include initiating and developing platform client relationships on a global basis for Millennium. Before joining Millennium, Mr. Kelly was Co-Head of National Sales for Alternative Investments at Morgan Stanley Smith Barney. Prior to this position, Mr. Kelly was Co-Head of National Sales for Alternative Investments at Smith Barney and also previously served as Head of the Alternative Investment Strategies Desk at Smith Barney. Mr. Kelly joined Salomon Smith Barney in 1998 and has been instrumental in successfully raising capital for various hedge fund, private equity, real estate, managed futures and exchange fund offerings. Mr. Kelly is a Chartered Financial Analyst (CFA) charterholder, as well as a Chartered Alternative Investment Analyst (CAIA) charterholder. He is a member of the CFA Institute, the Chartered Alternative Investment Analyst Association and the New York Society of Security Analysts. Mr. Kelly graduated from the Frank G. Zarb School of Business at Hofstra University.

ABOUT MILLENNIUM MANAGEMENT LLC

Millennium Management is a global investment management firm founded in 1989 that manages approximately \$38.1 billion in assets as of March 1, 2019. They have more than 2,800 employees working across offices in the United States, Europe and Asia.

Over the last 25+ years, their mission has remained constant: to deliver the alternative investment industry's highest quality returns to their investors, and to maintain a commitment to their principles of integrity, discipline and excellence.

Millennium allocates capital across a diverse set of strategies involving a variety of predominantly liquid asset classes. The primary focus of Millennium's business is on generating high-quality returns by engaging and overseeing a large number of specialized trading teams, each of which pursues specific strategies. Their core infrastructure enables trading teams to pursue their independent strategies by delivering a flexible, scalable and efficient platform within a rigorous control environment.

TAKE NOTE

KKR

TAKE NOTE



JOSH WEISENBECK
MEMBER

Joshua Weisenbeck (New York) joined KKR in 2008 and is a member of the Industrials industry team. He has been actively involved with the investments in Capsugel, Capital Safety, Gardner Denver, Hyperion Materials & Technologies, Minnesota Rubber and Plastics, and GeoStabilization International, and has portfolio company responsibility for BrightView. He currently sits on the boards of Gardner Denver (NYSE: GDI), BrightView (NYSE: BV), Hyperion Materials & Technologies, Minnesota Rubber and Plastics, and GeoStabilization International. He was formerly a Director of Capital Safety during the time that KKR owned it from 2012 until the successful sale of the company to 3M in 2015, as well as a Director of Capsugel during the time that KKR owned it from 2011 until the successful sale of the company to Lonza in 2017. Prior to joining KKR, Mr. Weisenbeck was with Onex Corporation, focusing on industrials private equity transactions, including Onex's investment in Allison Transmission. Prior to Onex, he worked for Lazard Freres & Co. in its Power & Energy Group, where he was involved in a number of merger and acquisition transactions. He holds a BA with honors, magna cum laude, Phi Beta Kappa, from Williams College. Mr. Weisenbeck also serves as a Trustee for The Brownstone School, a non-profit pre-school.

ABOUT KKR

KKR is a leading global investment firm that manages multiple alternative asset classes, including private equity, energy, infrastructure, real estate and credit, with strategic partners that manage hedge funds. KKR aims to generate attractive investment returns for its fund investors by following a patient and disciplined investment approach, employing world-class people, and driving growth and value creation with KKR portfolio companies. KKR invests its own capital alongside the capital it manages for fund investors and provides financing solutions and investment opportunities through its capital markets business. References to KKR's investments may include the activities of its sponsored funds. For additional information about KKR & Co. Inc (NYSE: KKR), please visit KKR's website at www.kkr.com and on Twitter @KKR_Co.

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TAKE NOTE



DAN PARANT
DIRECTOR

Dan Parant (New York) joined KKR in 2012 and is a Director in the Client and Partner Group, focused on working with leading private wealth platforms, family offices, registered investment advisors, and high net worth individuals. Prior to joining KKR, Mr. Parant was a Vice President and Head of Alternatives Business Development for Intermediary Distribution at Neuberger Berman, and previously was a member of the absolute return strategies business at Lehman Brothers. Prior to joining Lehman Brothers, Mr. Parant worked as an Associate in the Capital Markets Consulting Group at Thomson Financial. Mr. Parant holds a BS in applied economics and management from Cornell University.

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KKR is a leading global investment firm that manages multiple alternative asset classes, including private equity, energy, infrastructure, real estate and credit, with strategic partners that manage hedge funds. KKR aims to generate attractive investment returns for its fund investors by following a patient and disciplined investment approach, employing world-class people, and driving growth and value creation with KKR portfolio companies. KKR invests its own capital alongside the capital it manages for fund investors and provides financing solutions and investment opportunities through its capital markets business. References to KKR's investments may include the activities of its sponsored funds. For additional information about KKR & Co. Inc (NYSE: KKR), please visit KKR's website at www.kkr.com and on Twitter @KKR_Co.

KKR

TAKE NOTE



TONY PENNETTI
DIRECTOR

Anthony D. Pennetti (New York) joined KKR in 2012 and is a Director in the Client and Partner Group, focused on working with leading private wealth platforms, family offices, registered investment advisors, and high net worth individuals. Prior to KKR, Mr. Pennetti was a Managing Director at Prisma Capital Partners, a hedge fund solutions business, where he was responsible for working with a similar clientele. Prior to joining Prisma, Mr. Pennetti was a Managing Director at Meridian Capital Partners, a fund of hedge funds, where he led the firm's financial intermediary sales business. Previously, he was the Director of Marketing at Deerfield Capital Management, responsible for sales and marketing for the firm's hedge fund strategies. Earlier, Mr. Pennetti was a Director of Marketing and Client Service at Lehman Brothers Alternative Investment Management. Prior to Lehman Brothers, he was a Managing Director at Donaldson, Lufkin & Jenrette where he managed a team responsible for the placement of alternative investment solutions. Mr. Pennetti began his career as a Vice President at JP Morgan where he advised private clients in the bank's wealth management division. Mr. Pennetti holds a BA degree from Boston University and a JD from Albany Law School of Union University.

ABOUT KKR

KKR is a leading global investment firm that manages multiple alternative asset classes, including private equity, energy, infrastructure, real estate and credit, with strategic partners that manage hedge funds. KKR aims to generate attractive investment returns for its fund investors by following a patient and disciplined investment approach, employing world-class people, and driving growth and value creation with KKR portfolio companies. KKR invests its own capital alongside the capital it manages for fund investors and provides financing solutions and investment opportunities through its capital markets business. References to KKR's investments may include the activities of its sponsored funds. For additional information about KKR & Co. Inc (NYSE: KKR), please visit KKR's website at www.kkr.com and on Twitter @KKR_Co.

